Lexington Clinic Initial Credentialing/Privileging Packet Instructions

KAPER

- Enter demographic information as highlighted on page 1. Please make sure to enter citizenship information and ECFMG information, if applicable.
- On pages 2-4, please list all educational information for your undergraduate degree, medical degree, and internships/residencies/fellowships. Please make sure to answer each question for your training pertaining to completion and if you were ever suspended, placed on probation, etc., and provide any application information. If there are any gaps from the start of medical school through completion of residency/fellowship training; or, if there were interruptions during training/training was not completed, etc., then this must be explained. The section on Other Professional Training pertains to any degrees earned during or after your medical training. Enter Teaching Appointment information if this applies after completion of your residency/fellowship training.
- On page 5, answer CME question (we do NOT need copies of your CME certificates) and list current BLS/ACLS, etc., information. Please list your KY medical license and any other state medical licenses that you hold. Please also list your DEA certificate number and expiration date.
- Answer all malpractice history disclosure questions on page 6. We will need a detailed explanation of any positive answer.
- On page 7, enter information pertaining to your Board-Certification or Board-Eligibility.
 Please make sure to answer question regarding passage of certification exams and provide any explanation required. Please answer all disclosure questions and provide additional information if required.
- On page 8, enter your work history information since completion of your residency/fellowship training. <u>All work history information should be included, in month/date format, since completion of medical training.</u> If there are any gaps in employment during this time, please explain in writing. The application will be returned to you if required sections are not fully completed (including dates, reason for leaving, etc.). <u>See CV is NOT an acceptable response for this portion.</u>
- Enter any hospital affiliations on page 9. Please only list those that apply since completion of your residency/fellowship.
- On page 10, enter contact information for three peer references that can be contacted for a reference and competency request. The peers must be physicians and their email addresses must be included.
- Sign pages 11 & 12.

I. PERSONAL IDENTIFICATION DATA Name: Suffix First Last Middle Maiden Name Degree Medical Staff Allied Health (please specify) Residence: Phone: Primary Office Address: Phone: Fax: Secondary Office Address: Phone: Fax: Billing Office Address: Phone: Fax: Credentialing Address: Phone: Fax: Credentialing Email: Credentialing Contact: Residence Other (please specify) Primary Office Preferred Mailing Address: Phys. Email Address: _ Office Web Address: Prac. Admin's Email: Date of Birth: _____ Gender: ____ Place of Birth: Social Security #: Marital Status: Citizenship: Spouse: (If not a US citizen, please complete the next three fields) ______Alien Reg. #: Exp. Date: Language Spoken: ___ O - O O O - O O O - O Alpha ECFMG #: Pager #: Digital Voice (if applicable): Medicare #: Celiular #: KY Medicaid #: Answering service #: _____ UPIN: ____ Are you taking new patients? Taxonomy Code: ___ EIN: __ Clinical Specialty/Subspecialty: Other interests in practice, research, etc.: _ Name others with whom you are or will be associated in practice: Solo Group Partnership Corporation Effective Date: Nature of association: Other: (please specify) ___ Name of Practice (if applicable): Covering physician(s) to be called in my absence (Allied Health Professionals list sponsoring physician): Specialty: ____ Telephone: ___ Specialty: ___ Telephone: __ Name: _ Specialty: Telephone:

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II. EDUCATIONAL DATA

(All periods of time must be accounted for from entrance into medical school to the present)

Please indicat	e if your name at any edi	ucational institution	n is different than	the name listed on y	our application.	Yes I	No
A. Schools							
Undergraduate	College/University:						
Address:							
City/State/ZIP:	City		St	ZIP	ZIP+	Country	
Phone:		Fax:		Email (if available):			
Degree:						From (mm/m)	To (mm/w)
						FIOTH (HIIIVYY)	TO (HIHIVYY)
	Other College:						
Citu/State/ZID:							
City/State/ZIP:	City		St	ZIP	ZIP+	Country	
Degree:						From (mm/m/)	To (mm/w)
						From (min/yy)	TO (Hillingy)
B. Internship							
Name:				ype of Internship		From (mm/yy)	To (mm/yy)
Address:							
City/State/ZIP:							
				ZIP	ZIP+	Country	
Phone:		Fax:		Email (if available):			
	mship, were you ever sus explain on a separate she		robation, formally i	reprimanded, asked to	resign or did you	voluntarily resign?	
			Yes No)			
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				ype of Internship		From (mm/yy)	lo (mm/yy)
Address:							
City/State/ZIP:	City		St	ZIP	ZIP+	Country	
Phone:		Fax:		Email (if available):			
During this inte	rnship, were you ever sus	pended, placed on p	robation, formally	reprimanded, asked to	resign or did you	voluntarily resign?	
if YES, please	explain on a separate she	et and attach.	Yes No	1			
Check and atta	f more than two inter och.	<mark>nships were be</mark> g			the same infor	mation on a se	parate sheet
C. Residencia	98	·					
Name:				ype of Residency		/	
			T	ype of Residency		From (mm/yy)	To (mm/yy)
City/State/ZIP:	City		St	ZIP	ZIP+	Country	
Phone:		Fax:		Email (if available):			

id you complete the residence of						
id you complete the residency?	Yes	No				
uring this residency, were you eve	er suspended, placed o	on probation, fo	rmally reprimanded, as	sked to resign or did	you voluntarily resign?	
YES, please explain on a separate	e sneet and attach.	Yes	No			
ame:						<i></i>
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City		St	ZIP	ZIP+	Country	
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airman/Chief of Service:						
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YES, please explain on a separate	e sheet and attach.	Yes	□No			
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airman/Chief of Service:						
d you complete the residency?						
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Did you complete the fellowship?	Yes!	No				
Ouring this fellowship, were you ever s f YES, please explain on a separate s	suspended, placed c sheet and attach.		n, formally reprimanded, asked to r	resign or o	did you voluntarily resi	ign?
lame:			Type of Fellowship		From (mm/v	// To (mm/vv)
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				ZIP+	Country	
Phone:	Fax:		Email (if available):			
Did you complete the fellowship?	Yes 1	No				
Ouring this fellowship, were you ever sty YES, please explain on a separate s	suspended, placed on the sheet and attach.		n, formally reprimanded, asked to describe	resign or o	did you voluntarily res	ign?
Check if more than three for sheet and attach.	fellowships were	begun o	or completed. Please supply	the sa	me information or	a separate
Other Professional Training						····
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City/State/ZIP: City		St	ZIP	ZIP+	Country	
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Degree:						
School:						1
Address:			Chairman/Chief of Sen	vice	From (mm/yy)	To (mm/yy)
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Phone:	Fax:		Email (if available):			
Degree:						
Check if more than two tra sheet and attach.	aining programs	were be	gun or completed. Please s	supply th	ne same informati	on on a separa
			OUNC APPOINTMENTS			
			CHING APPOINTMENTS			
Name:			Department Chief		Type of Appointme	ent
Address:						
City/State/ZIP:						
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Phone:	Fax:		Email (if available):			
Name:			Department Chief		Type of Appointme	ent
Address:			-			
City/State/ZIP:						I
City Phone:	Fax:	St	ZIP Email (if available):	ZIP+	From (mm/yy)	To (mm/yy)

IV. POS	I-GRADUATE AND CONTI	NUING EDUCATION COURSES	
Have you participated in post-graduate/continuentificate of attendance.			
YES NO List and/or	$^{**}\underline{We}$	do not need copies of you	ur CME certificates.
Do you have a cardio-pulmonary resuscitation co	ertificate?		
CPR	Yes No	Date of Expiration	
ACLS	Yes No		
ATLS	Yes No		
PALS	Yes No	Date of Expiration	······
NRP NRP	Yes No	Date of Expiration	
	Please attach copies	of all certificates.	
	V. LICENSURE II	NFORMATION	
List all current and past professional health o	are licenses held and attach	copies of all active licenses. Allied	1 Health Professionals: list all
certifications. State: License #: Date I	ssued: Expiration Date:	Status:	License Obtained by:
		Active Inactive	Exam Reciprocity
KY State: State #2:		Active Inactive	Exam Reciprocity
State #2: State #3:		Active Inactive	Exam Reciprocity
State #4:		Active Inactive	Exam Reciprocity
State #5:		Active Inactive	Exam Reciprocity
State #6:		Active Inactive	Exam Reciprocity
State #7:		Active Inactive	Exam Reciprocity
State #8:		Active Inactive	Exam Reciprocity
If licensed in more than eig	ght (8) states, please supply t	he same information on a separate	sheet and attach.
VI DRIIC	ENEODOEMENT ADMINIS	STRATION INFORMATION (DEA	A.)
		eral DEA Certificate for each state	
Federal DEA Certificate #:		Expiration:	
Federal DEA Certificate #:		Expiration:	
VII STATE NARCOTIC	S REGISTRATION: CONT	ROLLED SUBSTANCE REGIST	FRATION (CSR)
		n copies of any additional CSR cert	
State:			
Certificate #:		Expiration:	
State:			
Certificate #:		Expiration:	
	VIII DROFFSSIONAL	LIABILITY DATA	
/This application	VIII. PROFESSIONAL	LIABILITY DATA It proof of amount of professional	liability)
Name of Carrier:	•		намикуј
Address:			
City:		ZII	p:
Policy#:		nt of Coverage:	
	,oui		

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Date of Inception:	Date of Expiration:
Name of Agency:	
CLAIMS MADE	OCCURRENCE (Check One)
Please list any other profes	ssional liability carriers you have used within the last five (5) years:
Answer the follo	wing questions:
Has your professional	liability insurance coverage been terminated by action of the insurance company?
	d professional liability insurance coverage or been rated at a higher than average risk N/A Yes No
3. Has your present prof coverage?	essional liability insurance carrier excluded any specific procedures from your N/A Yes No
4. Have any professiona	I liability suits or claims been filed against you?
5. Have any professiona	I liability suits or claims been filed against you which are presently pending?
6. Have any judgments	or settlements been made against you in professional liability cases?
	na facility, do you participate in the Indiana Patient Compensation Fund?
8. If applying to a Virgini	a facility, do you participate in the Birth-related Neurological Injury Compensation Act? N/A Yes No
If the answer is yes to an Sheet. Provide a full exp judgment.	y of the above questions, please explain the case(s) and the outcome(s) on the following Professional Liability Detail lanation including the name of the carrier, the date and specific information concerning any limitation, settlement or
	PROFESSIONAL LIABILITY DETAIL SHEET
	(Please copy this page if additional sheets are needed)
CHECK HERE IF NOT	APPLICABLE
Please fill in the following	details for each pending or settled malpractice suit or claim you have experienced:
Pending	Settled Date:
List the allegations:	
Date of occurrence:	
Name of institution involved	d (i.e., hospital):
Name and address of insur	ance carriers involved:
Please supply the following judgments against you.	ng details for each malpractice lawsuit in which you were a defendant, and which resulted in a jury award or court
Title of the court case:	
The court case number: _	
The venue of the case (pla	ce where court case took place, such as County District Court or Circuit Court):
Allegations listed in compla	int:
Date of incident leading to	complaint:
_	
	ractice insurance carrier:
Amount of him and	
Amount or jury award or an	nount awarded by the court:

IX. CERTIFICATION BY AMERICAN BOARD OF MEDICAL SPECIALTIES OR AMERICAN OSTEOPATHIC ASSOCIATION (Allied Health Professional: list national certifications) No (If not Board admissible, please explain on separate sheet and attach) Are you board certified? If yes, list full name of certifying board and date which you obtained certification/recertification: Date: Date: Date: Date: Date: If you are not yet certified but have applied to a specialty board for examination, give the name of the board and date of application: If status is one of eligibility, provide year when eligibility will terminate under rules of the specific board: List date of next required recertification (if applicable): 5. No Have you ever been examined by a specialty board but failed to pass the exam? If yes, please explain. X. INDIVIDUAL PRACTICE INFORMATION Please answer each of the following questions in full. If the answer to any question is "yes," please provide full explanation of the details on a separate sheet and attach. Are there any actions that have been initiated or are any pending against you by any state licensing board? Pending Resolved Have you had any professional license or certification in any state that has ever been denied, limited, 2. suspended, sanctioned, revoked, probated, voluntarily or involuntarily relinquished or not renewed? Have you ever received notice of a proposed or actual exclusion (suspension, sanction, otherwise restricted) Yes from any private health care program(s) or any health care program(s) funded in whole or in part by the state or federal government, including Medicare or Medicaid? If so, provide a detailed description of this matter, including the current status of your participation in such program(s). Have you ever been the subject of an investigation by any private, federal or state agency concerning your participation in any private, federal or state health insurance program? Have your narcotics registration certificates ever been limited, suspended, revoked, voluntarily or 5. involuntarily surrendered or not renewed? If applicable, is your federal (to include District of Columbia and territories of U.S.A.) and/or state narcotics N/A 6. registration certificate being challenged? Have you been named as a defendant or convicted of a felony or misdemeanor? 7. Have your employment, medical staff appointment or clinical privileges ever been voluntarily or involuntarily denied, suspended, diminished, revoked, limited or not renewed at any health care facility? Have you ever withdrawn your application for appointment, reappointment, clinical privileges, or resigned from the medical staff of any health care facility before a decision was made by its governing board? 10. Have you ever been the subject of disciplinary proceedings or a focus review based on inappropriate quality of care at any hospital or health care facility? Have you ever been denied membership or renewal thereof, or been subject to disciplinary or adverse action in any medical or professional organization? XI. PERSONAL HEALTH STATUS Please answer each of the following questions in full. If the answer to any question is "yes," please provide full explanation of the details on the appropriate Explanation Sheet. Yes No Do you currently have, or have you ever had any physical, mental, or emotional condition which impaired, or might reasonably be considered to impair, your ability to perform the procedures or provide the treatment for which you have requested clinical privileges or to meet the requirements of medical staff membership? Have you ever been admitted to any hospital or been involved in a treatment program for any physical, mental or emotional condition which impaired or might reasonably be considered to impair, your ability to perform the procedures or provide the treatment for which you have requested clinical privileges or to meet the requirements of No Do you currently have, or have you ever had a dependency on or abuse of the use of alcohol or drugs, or are you Yes currently or have ever been involved in a treatment program for a dependency on or abuse of alcohol or drugs which

impaired, or might reasonably be considered to impair, your ability to perform the procedures or provide the treatment

for which you have requested clinical privileges or to meet the requirements of medical staff membership?

XII. PROFESSIONAL SOCIETIES

					Dates	
Name:				Fro	m (mm/yy) / To	(mm/yy)
ddress:ity:		S	State:	Z	IP:	
ame:					m (mm/yy) To	
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ty:		S	State:		IP:	
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I would like to use this ap A separate dues stateme		in the		County M	edical Society an	d the KMA.
l am already a member o	of my local medical societ	v Please snecify s	ociety:			
,	,	,				
cludes all hospitals, corpe ctivity. Complete address lease explain on a separat	es must be included. De page. "See CV" is no	ate must be in MM t acceptable. Plea	I/YY format. If you hav se attach additional sh	e a gap in emplo eets if more spa	yment of more ice is needed.	than thirty (30)
ame:		Dep	artment:		From (mm/yy)	_ // To (mm/yy)
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ty/St/ZIP: City		Dep	Email (if availab artment: Type of Privile	eges/Position: _	From (mm/yy)	To (mm/yy)
ddress:		Dep	Email (if availab artment: Type of Privile	eges/Position: _	From (mm/yy)	To (mm/yy)

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Membership in local, state, or national medical societies

Name:		Depa	artment:			
Address:			Type of Pr	ivileges/Position: _	From (mm/yy)	To (mm/yy)
City/St/ZIP:		St	ZIP	ZIP+	Country	
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eason for leaving:						
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Affiliations Hospita						
ist in chronological order al ncludes all hospitals, corpo ctivity. Complete addresse lease explain on a separate	rations, military assign s must be included. Da	ments, governmen ate must be in MM	nt agencies, group /YY format. If you h	practices, other he nave a gap in emplo	althcare facilities or syment of more that	r other types o
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Phone:	Fax:		Email (if avai	ilable):		
Reason for leaving:						
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Phone:	Fax:					
Reason for leaving:						
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Address:			Type of Pr	rivileges/Position: _	From (mm/yy)	To (mm/yy)
City/St/ZIP:						
City		St	ZIP	ZIP+	Country	
Phone:	_			W-1-1-X		

XIV. PEER REFERENCES

Name three physicians who have personal knowledge of your current clinical abilities, and ethical character, who will provide specific written comments on these matters upon request from Hospitals, Medical Societies, or Authorized Credentialing Services. The named individuals must have acquired the requisite knowledge through recent observation of your professional practice over a reasonable period of time, and at least one must have had organizational responsibility for your performance. The individuals should not be related to you by blood or marriage, training directors, partners/associates in your current group practice, or anyone with whom you have or anticipate having a financial relationship. Requested sources: practitioner in same specialty or practitioners with whom you have a referral pattern. If you recently completed training, you may use chief resident or other training colleague. Allied Health Professional should list their sponsoring physician, another physician and one peer from the same specialty as the applicant. Please note that you may be required to follow further directions of an individual hospital or facility in order to accommodate variations in medical staff bylaws.

Reference	·		
			Country:
Phone: _	Fax:	Email (if available):	
Reference	<u> </u>		
Phone: _	Fax:	Email (if available):	
Reference	·		
Address:			
			Country:
Phone: _	Fax:	(if available):	

Please list contact information for three physician references who can be contacted for a reference and competency request.

Email addresses must be included.

XV. AUTHORIZATION AND RELEASE OF APPLICANT (HEALTHCARE FACILITY RELEASE)

(Please read carefully before signing)

As a condition of applying for/accepting medical staff appointment or clinical privileges at the healthcare facilities listed in this application ("Hospital"), and whether or not my application is accepted, I acknowledge, consent, and agree as follows:

- A) I extend absolute immunity to, and release from all liability, the Hospital, its authorized representatives, and third parties (as defined in subsection C below), for any good faith communications, recommendations, disclosures or administrative action involving and pertaining to: (1) applications for appointment, reappointment or clinical privileges; (2) periodic reappraisals; (3) proceedings for suspension or reduction of clinical privileges or for denial or revocation of appointment, reappointment, or any other disciplinary action; (4) summary suspensions; (5) hearings and appellate reviews; (6) care evaluations; (7) utilization reviews; (8) any other healthcare facility, medical staff, department, service or committee activities; (9) my professional qualifications, credentials, clinical competence, character, mental or emotional stability, physical condition, ethics or behavior; and (10) any other matter that might directly or indirectly impact or reflect on my competence, on patient care or on the orderly operation of the Hospital.
- B) I will make myself available for interviews and acknowledge the burden of producing updated current information as to all questions on this application and such other information reasonably necessary to evaluate my qualifications. The Hospital and its authorized representatives may consult with and obtain information, including otherwise privileged or confidential information, from the Hospital's medical staff appointees and employees and from any third party bearing on my professional qualifications, all matters listed in subsection A, and any other matters bearing on my satisfaction of the criteria for reappointment to the medical staff. I authorize all persons and organizations having any knowledge of such matters to release said information to the Hospital or its authorized representatives upon request and I consent to the reporting of disciplinary information described below in section C.
- C) The term "Hospital and its authorized representatives" means the Hospital, its governing entity, persons who have any responsibility for or knowledge pertaining to the matters outlined in subsection A above, and authorized Centralized Verification Organization (CVO). The term "third party" means any individual, including a reappointee to the medical staff or other healthcare facilities, other physicians and health practitioners, government agencies, professional liability insurers, and other entities from whom or by whom the Hospital, authorized CVO, or other authorized representatives have requested or supplied information pertaining to matters in subsection A above.

I acknowledge and agree that: (1) medical staff reappointment and clinical privileges are not a right; (2) applications and requests will be evaluated in accordance with prescribed procedures defined in the Hospital and medical staff bylaws, rules and regulations; (3) I shall be bound by the medical staff bylaws, rules and regulations, and corporate compliance programs, as amended from time to time, of hospitals to which I now and may subsequently apply; (4) I pledge to provide for continuous care for my patients in the hospital; (5) Hospital or its authorized representatives and third parties acting in their official capacities will notify authorized CVO and appropriate governmental agencies, boards or professional associations of disciplinary or professional action taken with respect to me if required to be reported to the Kentucky Medical Licensure Board by KRS 311.606 or if required to be reported by the authorized CVO, by medical staff bylaws, or by any other state or federal law; and (6) that this authorization, attestation and release is irrevocable for any period during which I am an applicant for or have medical staff privileges at Hospital, or, if later in time, for as long as Hospital may be under a duty to report information pursuant to the Health Care Quality Improvement Act of 1986. Pub. L. 99-660.

I represent and warrant that at the time of this application and at all times while I maintain medical staff membership that (1) I am not nor have I ever been, excluded or suspended for any period of time whatsoever from participation in any state or federal health care program, including Medicare and Medicaid; (2) I have not been convicted under any state or federal law of any offense for which I could face mandatory exclusion from participation in any state or federal health care program, including Medicare and Medicaid; (3) I have not committed any act for which I may be permissibly excluded from participation in any state or federal health care program, including Medicare and Medicaid; (4) I do not hold, and have never held, a direct or indirect ownership or controlling interest of five percent (5%) or more in any entity that has been excluded or suspended for any period of time whatsoever from participation in any state or federal health care program, including Medicare and Medicaid, nor have I ever been an officer, director, agent, or managing employee of any such entity; and (5) I have never been convicted of a federal health care offense as defined in 18 U.S.C. § 24, including any theft, embezzlement, fraud, or other acts as prohibited therein with regard to any public or private health plan. I agree to notify Hospital immediately in the event I am unable to maintain one or more of these representations.

D) Information and documents derived from or compiled in connection with matters listed in subsection A above, shall be privileged and confidential to the fullest extent permitted by law.

Information contained in or attached to this application is accurate and complete to the best of my knowledge. Any misrepresentation, misstatement, or omission, whether intentional or not, may constitute cause for immediate rejection of this application and termination of any status or privilege granted in reliance upon it.

Applicant's Signature:	 Date:	·

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ACKNOWLEDGEMENT STATEMENT

The following statement is required (by Medicare/Champus regulation) to be signed by each physician when he/she joins the Medical Staff. This must be signed and dated in the physician's own handwriting using his/her legal signature (initials are not accepted).

According to federal guidelines, stamped signatures and typed dates are not acceptable.

MEDICARE/CHAMPUS

"Notice to physicians: Medicare/Champus payment to hospitals is based in part on each patient's principle and secondary diagnoses and the major procedures performed on the patient, as attested to by the patient's attending physician by virtue of his/her signature in the medical record. Anyone who misrepresents, falsifies, or conceals essential information required for payment of federal funds may be subject to fine, imprisonment or civil penalty under applicable federal law."

I certify that I have received the above statement.

Signature:	Date:
Type or Printed Name:	